# Design and Implementation of Attack-Resilient Cyber-Physical Systems

Miroslav Pajic, Nicola Bezzo, James Weimer Oleg Sokolsky, George J. Pappas, Insup Lee

Precise Center University of Pennsylvania







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YAHOO!

Hackers find weaknesses in car computer systems

Business

**INSIDER** 

- internal computers - in a



#### BBC **NEWS** TECHNOLOGY Home | US & Canada | Latin America | UK | Africa | Asia | Europe | Mid-East | Business | Health 25 July 2013 Last updated at 19:04 ET

#### Car hackers use laptop to control standard car

By Zoe Kleinman Technology reporter, BBC News



#### The New Hork Times

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Worm Was Perfect for Sabotaging Centrifuges By WILLIAM J. BROAD and DAVID E. SANGER

Experts dissecting the computer worm suspected of being aimed at Iran's nuclear program have determined that it was precisely calibrated in a way that could send nuclear centrifuges wildly out of

Their conclusion, while not definitive, begins to clear some of the fog around the Stuxnet worm, a malicious program detected earlier this year on computers, primarily in Iran but also India, Indonesia and other countries.

The paternity of the worm is still in dispute, but in recent weeks officials from Israel have broken into wide smiles when asked whether Israel the attack, or knew who was. American officials have suggested it originated a

The new forensic work narrows the range of targets and deciphers the worm's attack. Computer analysts say Stuxnet does its damage by making quick chan rotational speed of motors, shifting them rapidly up and down.

Changing the speed "sabotages the normal operation of the industrial control; Eric Chien, a researcher at the computer security company Symantec, wrote in

Those fluctuations, nuclear analysts said in response to the report, are a recipe



Home > Collections > Surveillance

Iran says it downed U.S. stealth drone; Pentagon acknowledges aircraft downing

By Greg Jaffe and Thomas Erdbrink, December 04, 2011

A secret U.S. surveillance drone that went missing last week in western Afghanistan appea have crashed in Iran, in what may be the first case of such an aircraft ending up in the han an adversary.

Iran's news agencies asserted that the nation's defense forces brought down the drone, who military & Defense Iranian reports said was an RO-170 stealth aircraft. It is designed to penetrate enemy air defenses that could see and possibly shoot down less-sophisticated Predator and Reaper d Wild Computer Hack Controls Cars With Laptops

A stealthy RQ-170 drone played a critical role in surveilling the compound in Pakistan who Osama bin Laden was hiding in the months before the raid in which he was killed by U.S.

Obama Order Sped Up Wave of Cyberattacks Against Iran

By DAVID E. SANGER Published: June 1, 2012

in

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WASHINGTON - From his first months in office, President Obama secretly ordered increasingly sophisticated attacks on the computer systems that run Iran's main nuclear enrichment facilities, significantly expanding America's first sustained use of cyberweapons, according to participants in the program.



Mr. Obama decided to accelerate the attacks - begun in the Bush administration and code-named

Hackers have found out how to take control of your car with a **F** FACEBOOK ac Charlie Miller and Chris Valasek will show off their car hacks at this years DefCon 21 on Friday. August 2, in Las Vegas. VE Apparently, the two have figured out how to hack into the Electronic Control Units (ECUs)

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More: Military Hackers



#### **CPS Attack Classes**



#### 1. Sensor attacks

 The attacker can arbitrarily change sensor measurements.

#### 2. Actuator attacks

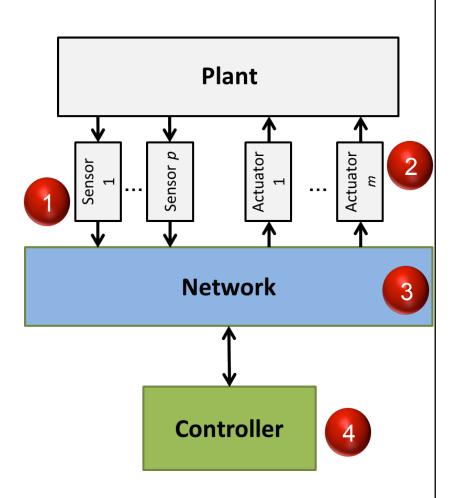
The attacker can arbitrarily change actuator values.

#### 3. Communication attacks

 The attacker can change messages between sensors and controllers, and messages between controllers and actuators.

#### 4. Controller attacks

 The attacker can change the controllers' parameters (e.g., execution model) or even the controllers' code.

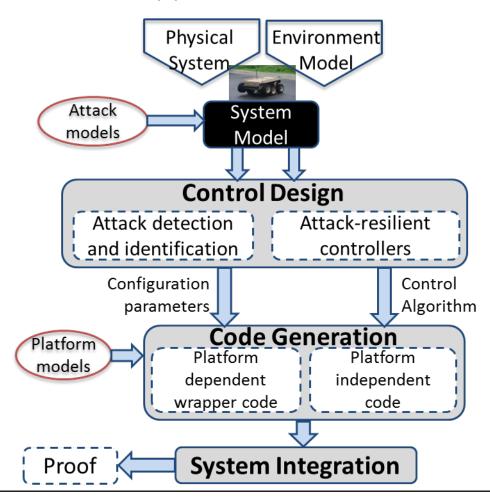


# Synthesis of Secure and Attack-Resilient Cyber-Physical Systems



**Goal:** Develop tools and techniques to ensure that cyber-physical systems maintain a degree of control even when the system is under cyber and/or physical attack

Overall approach

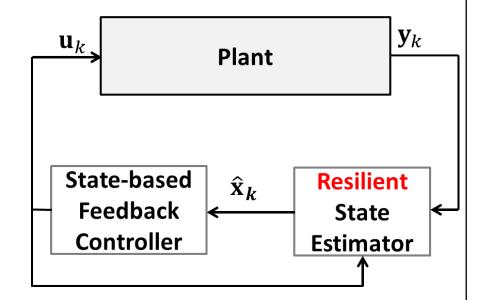


- Control-level techniques
  - Attack detection and identification using redundant sensing and model of the system's dynamics
  - Attack-resilient control architectures
- Code-level techniques
  - Ensure that the control code is correctly implemented and integrated!
  - Preventing malicious code injection into the controller

## Why attack-resilient state estimators?



- Attack-resilient control of Cyber-Physical Systems
  - Idea: Design attack-resilient state estimators
- Until now required an accurate LTI system model
  - Fawzi et al. 2012
  - Pasqualetti et al. 2013



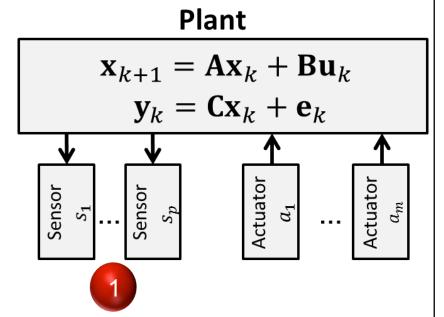
- If the number of attacked sensors is below a threshold, state can be reconstructed from a history of sensor readings
  - Also identifies sensors under attack

#### Modeling attacks on sensors and actuators

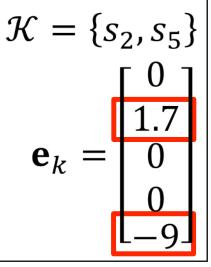


- Consider an LTI system
  - $-\mathbf{x}_k \in \mathbb{R}^n$  plant's state at time k
  - $-\mathbf{u}_k \in \mathbb{R}^m$  plant input at time k
- $-\mathbf{y}_k \in \mathbb{R}^p$  plant output
  - state information is availably only via sensors measurements

$$\mathcal{S} = \{s_1, s_2, \dots, s_p\}$$



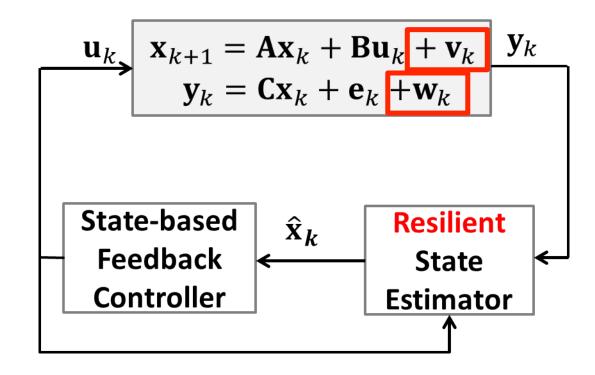
- Attacks on sensors in  $\mathcal{K} = \left\{ s_{i_1}, \dots, s_{i_q} \right\} \subseteq \mathcal{S}$
- modeled with attack vector  $\mathbf{e}_k$
- $-\mathbf{e}_{k,i} \neq 0 \iff \text{sensor } s_i \text{ is under attack at time } k$



# Deterministic system with known model? 🚱

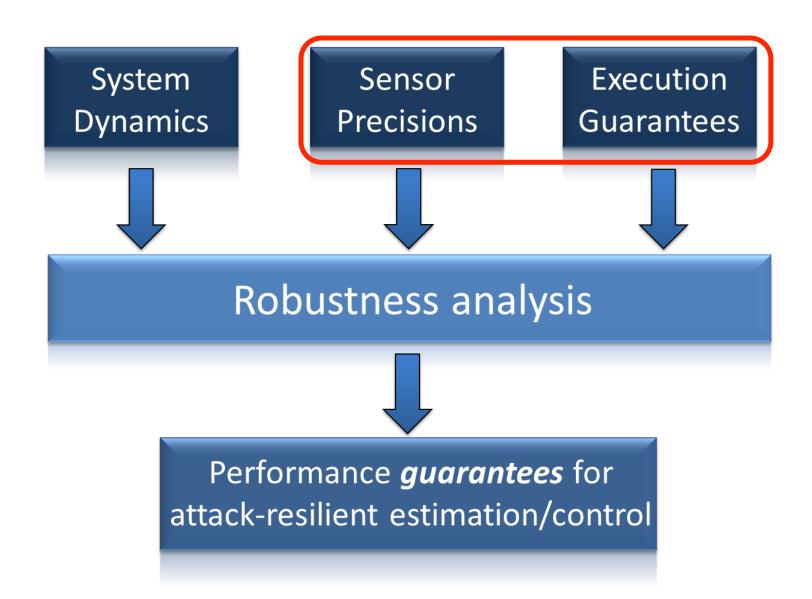


- In practice we have modeling errors
  - Process and measurement noise
  - Implementation effects including jitter, latencies, etc



# Goal: Attack-resilient state-estimation with performance guarantees

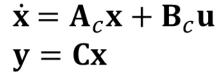




## **Modeling Errors Caused by Timing**



Control of Linear-Time Invariant continuous plants



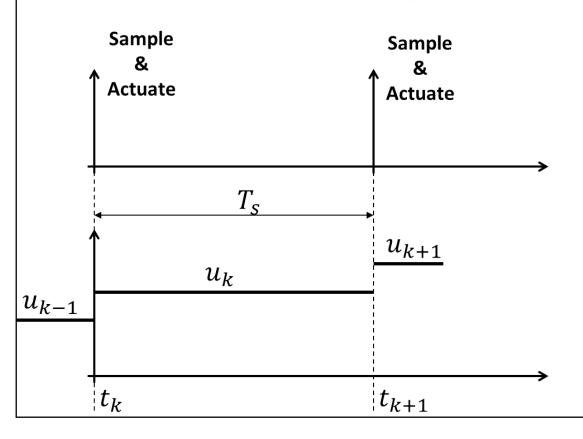


*Ideal d*iscrete-time plant model

$$\mathbf{x}_k = \mathbf{A}\mathbf{x}_k + \mathbf{B}\mathbf{u}_k$$
$$\mathbf{y}_k = \mathbf{C}\mathbf{x}_k$$

$$\mathbf{A} = e^{\mathbf{A}_{c}T_{S}}$$

$$\mathbf{B} = \int_{0}^{T_{S}} e^{\mathbf{A}_{c}\theta} \mathbf{B}_{c} d\theta$$

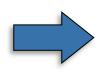


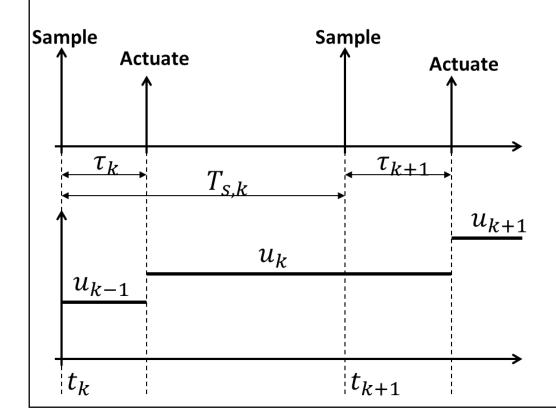
## **Modeling Errors Caused by Timing**



Control of Linear-Time Invariant continuous plants

$$\dot{\mathbf{x}} = \mathbf{A}_{\mathcal{C}}\mathbf{x} + \mathbf{B}_{\mathcal{C}}\mathbf{u}$$
$$\mathbf{y} = \mathbf{C}\mathbf{x}$$





#### Real discrete-time plant model

$$\mathbf{x}_k = \mathbf{A}_k \mathbf{x}_k + \mathbf{B}_k \mathbf{u}_k + \mathbf{B}_k^{-} \mathbf{u}_{k-1}$$
$$\mathbf{y}_k = \mathbf{C} \mathbf{x}_k$$

$$\mathbf{A}_{k} = e^{\mathbf{A}_{c}T_{s,k}}$$

$$\mathbf{B}_{k} = \int_{0}^{T_{s,k}-\tau_{k}} e^{\mathbf{A}_{c}\theta} \mathbf{B}_{c} d\theta$$

$$\mathbf{B}_{k}^{-} = \int_{T_{s,k}-\tau_{k}}^{T_{s,k}-\tau_{k}} e^{\mathbf{A}_{c}\theta} \mathbf{B}_{c} d\theta$$

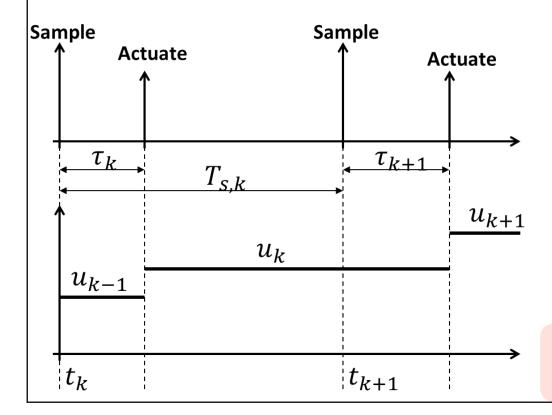
## **Modeling Errors Caused by Timing**



Control of Linear-Time Invariant continuous plants

$$\dot{\mathbf{x}} = \mathbf{A}_c \mathbf{x} + \mathbf{B}_c \mathbf{u}$$
$$\mathbf{y} = \mathbf{C} \mathbf{x}$$





#### Real discrete-time plant model

$$\mathbf{x}_k = \mathbf{A}_k \mathbf{x}_k + \mathbf{B}_k \mathbf{u}_k + \mathbf{B}_k^- \mathbf{u}_{k-1}$$
$$\mathbf{y}_k = \mathbf{C} \mathbf{x}_k$$

$$\mathbf{v}_{k}^{jit} = \left(e^{\mathbf{A}_{c}T_{s,k}} - e^{\mathbf{A}_{c}T_{s}}\right)\mathbf{x}_{k} +$$

$$\int_{T_{s,k}}^{T_{s,k}-\tau_{k}} e^{\mathbf{A}_{c}\theta}\mathbf{B}_{c} d\theta \mathbf{u}_{k} +$$

$$\int_{T_{s,k}-\tau_{k}}^{T_{s,k}} e^{\mathbf{A}_{c}\theta}\mathbf{B}_{c} d\theta \mathbf{u}_{k-1}$$

Effects of synchronization errors between sensors can also be captured

#### Modeling attacks on sensors

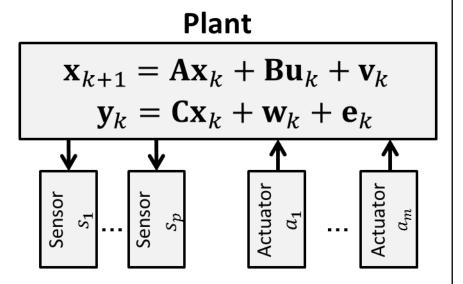


•  $\mathbf{v}_k \in \mathbb{R}^n$  and  $\mathbf{w}_k \in \mathbb{R}^p$  capture process and measurements noise, and modeling errors at time k

Assumption

$$|\mathbf{v}_k| \leqslant \epsilon_{\mathbf{v}_k}$$

$$|\mathbf{w}_k| \leqslant \epsilon_{\mathbf{w}_k}$$



#### **Problems:**

- Attack-resilient state estimation with modeling errors?
- Can the attacker exploit the noise to destabilize the system?
- Can we bound the error of the state estimation?

#### **Attack-Resilient State Estimation**



Goal: Design a decoder

$$\mathbf{x}_{t-N+1} = D_N(\mathbf{y}_{t-N+1}, ..., \mathbf{y}_t, \mathbf{u}_{t-N+1}, ..., \mathbf{u}_{t-1})$$

**Approach:** Consider the difference between the measurement and system evolution due to the initial state/inputs

#### **Measurement and inputs history matrix**

**System dynamics** 

$$\tilde{\mathbf{y}}_k = \mathbf{y}_k, \qquad k = t - N + 1$$

$$\tilde{\mathbf{y}}_k = \mathbf{y}_k - \sum_{i=0}^{k-t+T-2} \mathbf{C} \mathbf{A}^i \mathbf{B} \mathbf{u}_{k-1-i} \qquad \Phi(\mathbf{x}) : \mathbb{R}^n \to \mathbb{R}^{p \times N}$$

$$\mathbf{Y} = [\tilde{\mathbf{y}}_{t-N+1} | ... | \tilde{\mathbf{y}}_t]$$
  $\mathbf{\Phi}(\mathbf{x}) = [\mathbf{C}\mathbf{x} | \mathbf{C}\mathbf{A}\mathbf{x} | ... | \mathbf{C}\mathbf{A}^{N-1}\mathbf{x}]$ 

$$\mathbf{E} = \mathbf{Y} - \mathbf{\Phi}(\mathbf{x})$$

#### Attack-resilient State Estimation: Ideal case



$$\mathbf{E} = \mathbf{Y} - \mathbf{\Phi}(\mathbf{x})$$
$$= [\mathbf{e}_0 \mid \dots \mid \mathbf{e}_{N-1}]$$

**Optimal** attack-resilient state estimator [Fawzi *et al.* 2012]

$$P_0(\mathbf{Y}): \min_{\mathbf{x} \in \mathbb{R}^n} ||\mathbf{E}||_{\boldsymbol{l_0}}$$

$$\mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) = \mathbf{E}$$

$$(\mathbf{x}_0, \mathbf{E}) = \operatorname{argmin} P_0(\mathbf{Y})$$

$$\mathcal{K} = \{s_2, s_5\}, \mathcal{S} = \{s_1, \dots, s_5\}$$

$$\mathbf{E} = \begin{bmatrix} 0 & 0 & 0 \\ 1.7 & -2 & 6 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} -9 & 3.1 & 5 \end{bmatrix}$$

$$||\mathbf{E}||_{l_0} = 2$$

The number of nonzero rows of the matrix

$$q = ||\mathbf{E}||_{l_0} \le q_{max}$$

The maximal number of attacked sensors for which the state can be estimated

# Attack-resilient State Estimation: With noise and modeling errors



• Consider initial state  $\mathbf{x}_0$  and attack matrix  $\mathbf{E} = [\mathbf{e}_0 \mid ... \mid \mathbf{e}_{N-1}]$ 

$$P_{0}(\mathbf{Y}): \min_{\mathbf{x} \in \mathbb{R}^{n}} ||\mathbf{E}||_{l_{0}}$$

$$\mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) = \mathbf{E}$$

$$-\mathbf{\Delta} \leq \mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) - \mathbf{E} \leq \mathbf{\Delta}$$

$$(\mathbf{x}_{0}, \mathbf{E}) = \operatorname{argmin} P_{0}(\overline{\mathbf{Y}})$$

$$(\mathbf{x}_{0,\Delta}, \mathbf{E}_{\Delta}) = \operatorname{argmin} P_{0,\Delta}(\mathbf{Y})$$

How to initialize  $\Delta$ ?

Can we bound the error?

$$||\mathbf{x}_{0,\Delta} - \mathbf{x}_0||_2$$

# Attack-resilient State Estimation: With noise and modeling errors



• Consider initial state  $\mathbf{x}_0$  and attack matrix  $\mathbf{E} = [\mathbf{e}_0 \mid ... \mid \mathbf{e}_{N-1}]$ 

$$P_0(\mathbf{Y})$$
:  $\min_{\mathbf{x} \in \mathbb{R}^n} ||\mathbf{E}||_{\boldsymbol{l_0}}$ 

$$Y - \Phi(x) = E$$

$$(\mathbf{x}_0, \mathbf{E}) = \operatorname{argmin} P_0(\overline{\mathbf{Y}})$$

$$P_{0,\Delta}(\mathbf{Y})$$
:  $\min_{\mathbf{x} \in \mathbb{R}^n} ||\mathbf{E}||_{l_0}$ 

$$-\Delta \leqslant Y - \Phi(x) - E \leqslant \Delta$$

$$(\mathbf{x}_{0,\Delta}, \mathbf{E}_{\Delta}) = \operatorname{argmin} P_{0,\Delta}(\mathbf{Y})$$

How to initialize  $\Delta$ ?

$$\|\mathbf{x}_{0,\Delta} - \mathbf{x}_0\|_2$$

$$-\boldsymbol{\epsilon}_{v_t} \leq \boldsymbol{v}(t) \leq \boldsymbol{\epsilon}_{v_t} \\ -\boldsymbol{\epsilon}_{w_t} \leq \boldsymbol{w}(t) \leq \boldsymbol{\epsilon}_{w_t}$$

Initialize 
$$\mathbf{\Delta} = [\boldsymbol{\delta}_1 | \boldsymbol{\delta}_2 | ... | \boldsymbol{\delta}_T]$$

$$\delta_{k} \geqslant |\mathbf{C}| \sum_{i=0}^{k-1} |(\mathbf{A}^{k-1-i})| \epsilon_{v_{i}} + \epsilon_{w_{i}}$$

# Robustness of $P_{0,\Delta}(Y)$ State Estimation



$$P_{0}(\mathbf{Y}): \min_{\mathbf{x} \in \mathbb{R}^{n}} ||\mathbf{E}||_{\boldsymbol{l}_{0}} \qquad P_{0,\Delta}(\mathbf{Y}): \min_{\mathbf{x} \in \mathbb{R}^{n}} ||\mathbf{E}||_{\boldsymbol{l}_{0}}$$
$$\mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) = \mathbf{E} \qquad -\Delta \leq \mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) - \mathbf{E} \leq \Delta$$

$$(\mathbf{x}_0, \mathbf{E}) = \operatorname{argmin} P_0(\overline{\mathbf{Y}}) \qquad (\mathbf{x}_{0,\Delta}, \mathbf{E}_{\Delta}) = \operatorname{argmin} P_{0,\Delta}(\mathbf{Y})$$

Theorem: Consider the state estimation error defined as

$$\Delta \mathbf{x} = \mathbf{x}_{0,\Delta} - \mathbf{x}_0.$$

Then  $||\Delta x||_2$  is **bounded**.

# Robustness of $P_{0,\Delta}(Y)$ State Estimation



$$P_{0}(\mathbf{Y}): \min_{\mathbf{x} \in \mathbb{R}^{n}} ||\mathbf{E}||_{\boldsymbol{l}_{0}} \qquad P_{0,\Delta}(\mathbf{Y}): \min_{\mathbf{x} \in \mathbb{R}^{n}} ||\mathbf{E}||_{\boldsymbol{l}_{0}}$$
$$\mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) = \mathbf{E} \qquad -\Delta \leq \mathbf{Y} - \mathbf{\Phi}(\mathbf{x}) - \mathbf{E} \leq \Delta$$

$$(\mathbf{x}_0, \mathbf{E}) = \operatorname{argmin} P_0(\overline{\mathbf{Y}})$$
  $(\mathbf{x}_{0,\Delta}, \mathbf{E}_{\Delta}) = \operatorname{argmin} P_{0,\Delta}(\mathbf{Y})$ 

Corollary: If a stable state-feedback controller utilizes the state estimate  $\mathbf{x}_{0,\Delta}$  (i.e.,  $\mathbf{u}_k = \mathbf{K}\mathbf{x}_{0,\Delta}$ , where  $\mathbf{A} + \mathbf{B}\mathbf{K}$  is stable)

• Then the closed-loop system will remain stable when at most  $q_{max}$  sensors have been compromised.

#### **Bounding the State Estimation Error Algorithm Complexity**



Finding extreme points for every F

$$F = 0,1, \dots, p - 2q_{max} - 1$$
 
$$|\mathcal{K}| = F, |\mathcal{K}_1| = p - 2q_{max} - F$$
 
$$\mathcal{K} \cup \mathcal{K}_1 \subset S, \mathcal{K} \cap \mathcal{K}_1 = \emptyset$$

$$\max_{\Delta \mathbf{x} \in \mathbb{R}^n} ||\Delta \mathbf{x}||_2$$

$$\mathbf{O}_{\mathcal{K}_{\mathbf{F}}}\Delta\mathbf{x}=\mathbf{0}$$

$$\mathbf{O}_{\mathcal{K}_{\mathbf{F_1}}} \Delta \mathbf{x} \leq 2 \Delta_{\mathcal{K}_{\mathbf{F_1}}}$$

$$rank(\mathbf{O}_{\mathcal{K}\cup\mathcal{K}_1})=n$$

• ...but we need to do it only at design-time  $\mathbf{O}_{\mathcal{K}} = \begin{bmatrix} P_{\mathcal{K}}\mathbf{C} \\ P_{\mathcal{K}}\mathbf{C}\mathbf{A} \\ \vdots \\ P_{\mathcal{K}}\mathbf{C}\mathbf{A}^{N-1} \end{bmatrix}$ 

$$\mathbf{O}_{\mathcal{K}} = \begin{vmatrix} P_{\mathcal{K}} \mathbf{C} \mathbf{A} \\ P_{\mathcal{K}} \mathbf{C} \mathbf{A} \\ \vdots \\ P_{\mathcal{K}} \mathbf{C} \mathbf{A}^{N-1} \end{vmatrix}$$

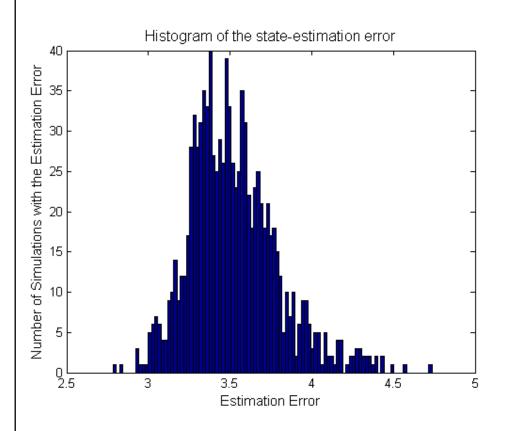
For almost all systems

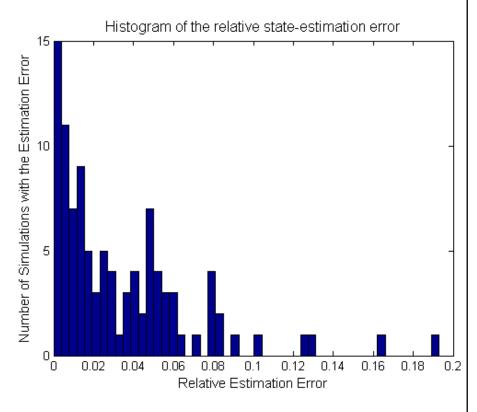
$$q_{max} = ceil\left(\frac{p}{2} - 1\right) \Rightarrow p - 2q_{max} - 1 \le 1$$

# Evaluation of the 'bounding' algorithm



Simulation results for 1000 runs of 100 randomly selected systems with n = 10 states and p = 5 sensors.





$$Rel\_error_{\mathfrak{S}} = \frac{\max_{i=1:1000} \Delta x_{\mathfrak{S}}}{MAX\_\|\Delta x_{\mathfrak{S}}\|_{2}}$$

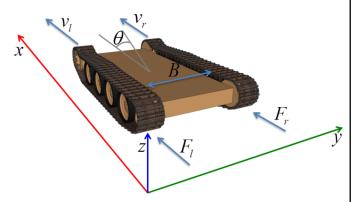
# **Case Study**



- Constant-speed cruise control for LandShark
  - Ensure that the vehicle can maintain speed when some of the sensors are under attacked

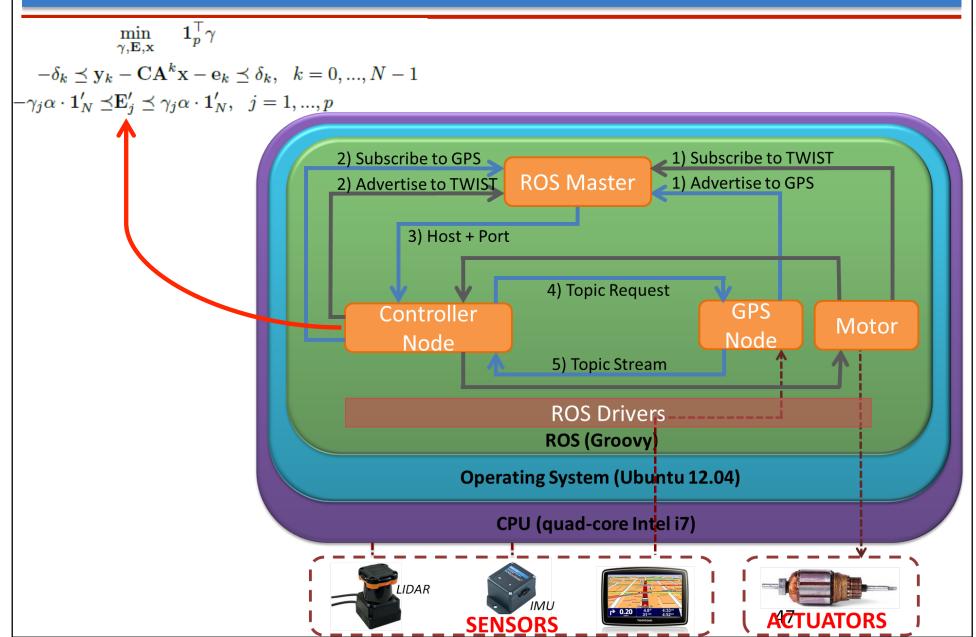


# 7<sup>th</sup> order skid steering model



### **System Architecture in ROS**





## **Attack-Resilient Cruise Control Demo**

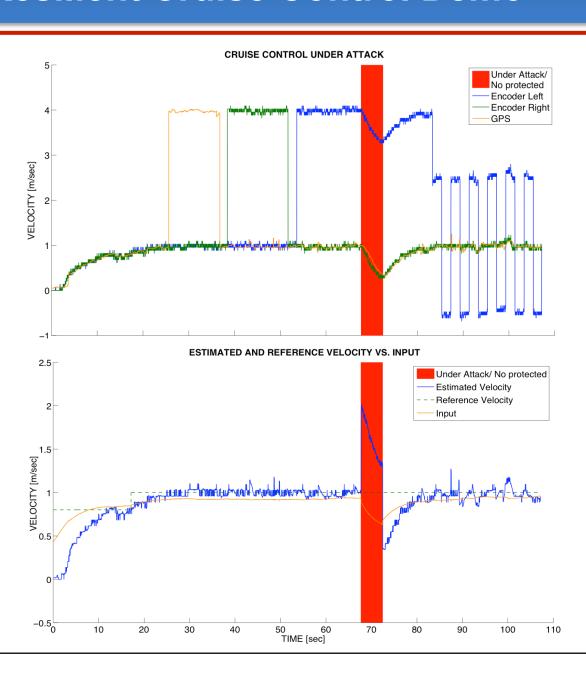




www.seas.upenn.edu/~pajic/research/CPS\_security.html

#### **Attack-Resilient Cruise Control Demo**





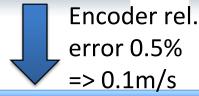
## **Robustness Analysis**





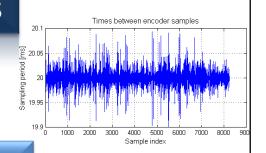


#### Sensor Precisions



# Execution Guarantees





# Robustness analysis



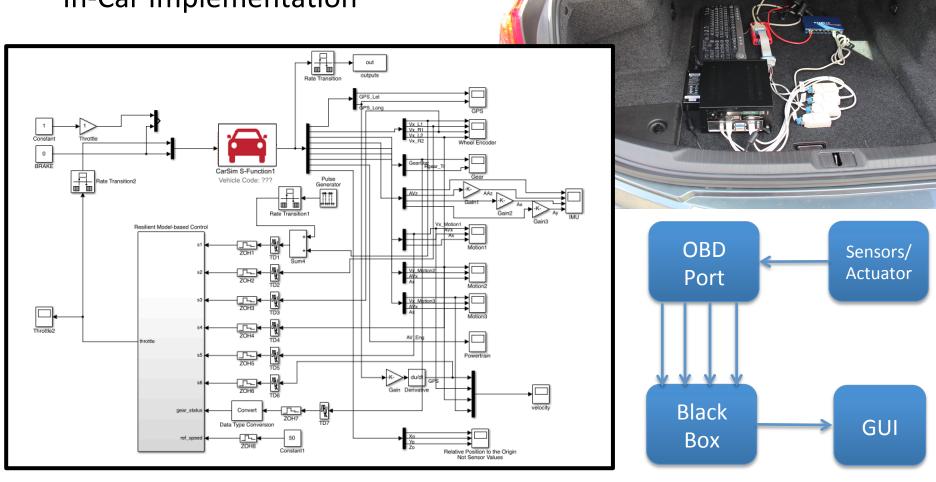
Performance *guarantees* for attack-resilient estimation/control

$$||\Delta \mathbf{x}||_2 = 0.72$$

# Attack-resilient state estimator for American Built Car



- CarSim Simulation
- In-Car Implementation



#### Attack-resilient state estimator for American Built Car





www.seas.upenn.edu/~pajic/research/CPS security.html





#### **ATTACK RESILIENT STATE ESTIMATOR**

Miroslav Pajic, Nicola Bezzo, James Weimer, Oleg Sokolsky, Paulo Tabuada, George J. Pappas, Insup Lee

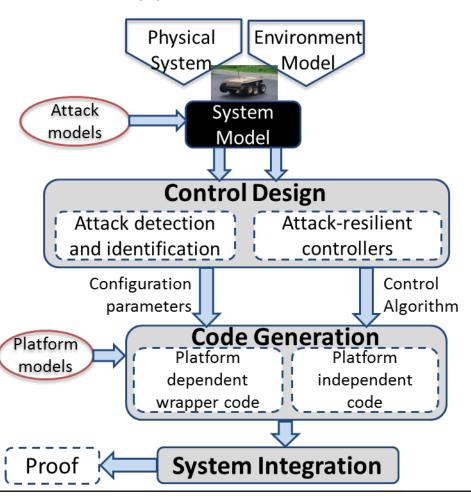


# Synthesis of Secure and Attack-Resilient Cyber-Physical Systems

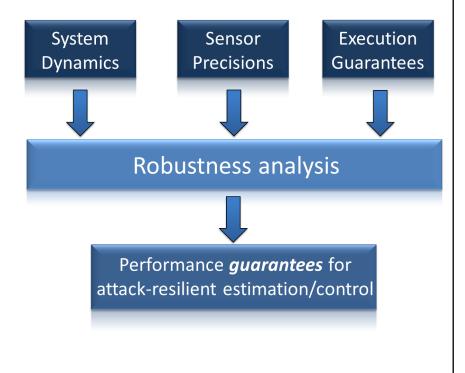


**Goal:** Develop tools and techniques to ensure that cyber-physical systems maintain a degree of control even when the system is under cyber and/or physical attack

Overall approach



- Control-level techniques
- Code-level techniques





# **Thank You**





