## **Bogor/Kiasan:** A Contract-based Verification and Test-Case Generation Framework

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#### Support

US Army Research Office (ARO) US National Science Foundation (NSF) US Air Force Office of Scientific Research (AFOSR) Lockheed Martin ATL (Cherry Hill, NJ) Rockwell Collins Advanced Technology Center IBM Eclipse

### **Implementation Level Specification**

Implementation level specification & checking plays an important role in developing high-assurance systems



<sup>&</sup>quot;SPARK Examiner with Run-time Checker...", p. 22

### **Implementation Level Specification**

Implementation level specification & checking plays an important role in developing high-assurance systems



## **Our Interests**

- Implementation level specification and checking for languages with rich object-oriented features
  - focusing on properties of heap-allocated data
  - specification and checking of both
    - safety properties
    - information flow/partitioning (not covered in this talk)
  - deep integration with other quality assurance methods such as testing

Due to the complexities of languages like Java, we don't aim to provide all the soundness guarantees of Spark/Praxis tools, but we do provide a rigorously justified formal foundation and soundness on a bounded portion of a program's state space.

...let's now look at some of the issues that we aim to address

## **Trends In Software Development**

#### Building Software from Reusable Units

- Frameworks
  - collection of units targeted to a particular application domain
  - Apache Struts, JavaServerFaces, CLSA
- Component Middleware
  - dictates a structure notion of reuseable component
  - provides extensive infrastructure and services
  - CCM, EJB, nesC, Bonobo
- Software Product Lines
  - Drive down development time and costs through systematic reuse of a managed set of assets across families of similar platforms







## **Benefits of Contracts**

Contracts enable compositional checking





Post-condition

# **Compositional Checking**

- Compositional checking is the key to scalability
  - Allows each method to be checked in isolation
  - If a method is changed, only need to recheck that one method (not the entire code base)
  - Enables checking to be carried out in parallel

# **Software Contracts**

#### Lightweight Contracts



linked list from java.util.concurrent

# **Software Contracts**

#### Strong Properties of Heap-allocated Data

...moving beyond ESC/Java



linked list from java.util.concurrent

# **A Skeptic's Questions**



- It takes a lot of effort to write these contracts -- what's the payoff?
  - please give me more than one way to leverage a contract!
- How does your approach integrate with other QA techniques my team is already trained for?
- How can your tool and methodology be incrementally introduced into my development workflow?
- Does this stuff scale?

## Progress

Tools like ESC/Java have made good progress toward answering the skeptic's questions...

- Practical contract checking technology for Java
- Supports automated checking of lightweight method contracts
- Effective for statically eliminating many common runtime errors such as null-pointer exceptions, array bounds checking

But a number of limitations remain...

- Don't handle heap-allocated data very well
- Error messages are hard to decipher
- No direct connection to other quality assurance techniques









### **Bogor Model Checking Framework**





#### Direct support for...

- unbounded dynamic creation of threads and objects
- automatic memory management (garbage collection)
- virtual methods, ...
- ..., exceptions, etc.
- supports virtually all of Java

#### Extensible Framework...

- new commands and expressions can be added to the modeling language to create *domain specific modeling languages*
- modular architecture allows core algorithms to easily be plugged and unplugged
- ... becoming a generic state-space exploration framework

### **Bogor Model Checking Framework**



...thank you for Eclipse support!

#### Educational Material...

- wide collection of pedagogical material...
  - lecture slides
  - streaming video lectures
  - projects, exams, labs, quizzes
- used by at least five universities at both the undergraduate & graduate level during the past year

#### University Research...

- a number of external research projects
  - MPI, BPEL (ICSE 2007), UML State Charts, .NET
- over external 1300 downloads

#### Industrial Use...



- Funded in 2006-2007 by Lockheed Martin Software Technology Initiative
- Bogor is the core of LM's Thimble framework for verification / visualization of threading properties of .NET systems
- Primary testbed is LM's Horizon satellite mission control system software product line

# **Bogor / Kiasan**

#### Kiasan – A Bogor Extension for Symbolic Execution



"kiasan" = "symbolic"

- Combines symbolic execution with...
  - model checking
  - theorem proving
  - constraint solving
- Formal operational semantics
  - Relative soundness and completeness proofs
- Quantifiable code behavior coverage
- Adjustable analysis cost/coverage

- Static (compositional/noncompositional) checking of
  - unspecified code
  - light-weight specifications
  - strong statements about heap properties
  - e.g., exceeding capabilities of ESC/Java
- Provides helpful analysis feedback
  - counter examples, visualization using object graphs
- Automates some of developers' tasks
  - JUnit test case generation

# **Bogor / Kiasan Architecture**



Adjustable analysis cost/coverage

## Outline



#### Bogor / Kiasan

#### **Foundations**

- Basic concepts
- Dealing with the heap
- Correctness results and distinguishing features

#### **Tool Capabilities**

- Lightweight property checking
- Input/Output Visualizations
- Strong contract checking
- Test case generation for open systems

<pre>void foo(int x,</pre>	{
z = x + y;	
if $(z > 0)$ {	
z++;	
}	
}	











...symbolic execution characterizes (theoretically) infinite number of real executions!

### **Solving Constraints**



# **Issue: Handling Loops**

How do we know when to quit going around a loop?

- Could leverage loop invariants, but that is difficult to obtain for several reasons
- Common strategy is to use different forms of bounds
  - bound total number of steps, or
  - bound number of loop iterations



### **Representing Heap Data** [Khurshid-al:TACAS03]

How should dynamically allocated heap data be represented in symbolic execution?



...model checker maintains a representation of the heap *...take advantage of that* 

Combined Concrete & Symbolic Representation



...lazily expand symbolic representation as program interacts with the heap

### **Representing Heap Data — Kiasan's** *k***-bounding**

How should dynamically allocated heap data be represented in symbolic execution?



...model checker maintains a representation of the heap *...take advantage of that* 

Note: Kiasan uses an improved algorithm -- lazier# initialization

Bound search by bounding length of reference chains

length limit k = 3



...# references is 2; keep expanding

 $\stackrel{\textbf{X}}{\longrightarrow}$ ...backtrack when execution generates a chain longer than k

### Handling Objects using Lazy Initialization (*k* = 2): LinkedList



## **Correctness Results and Distinguishing Features**

- Formal semantics of Kiasan's static analysis
  - proofs: relatively sound and complete
    - found an unsoundness (bug) in NASA's JPF symbolic execution implementation
- Significantly more efficient algorithms
  - orders of magnitude reduction in analysis cost
- A method to quantify the behavior coverage analyzed by Kiasan
- Fully supports Design-by-Contract paradigm
  - the most powerful compositional static analyzer for strong heap-oriented properties
- Formalized generation of analysis feedback
  - test cases, input/output object graphs

# **Experiment Data**

*Kiasan's algorithm (Lazier#) dramatically improves over competitors.* 

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Normal State       2       3524450       205430       204738       31       31       31       27.0m       27.9s       30.3s       21.5m       17.3s       19.3s         Normal State       1       986       818       354       6       6       3       20.0s       1.4s       0.7s       1.0s       0.8s       0.5s       19.3s         add       2       2932       1514       472       20       14       5       6.5s       2.8s       1.2s       4.2s       1.4s       0.7s       1.0s       0.8s       0.5s         add       2       2932       1514       472       20       14       5       6.5s       2.8s       1.2s       4.2s       1.4s       0.7s         add       2       2932       1514       472       20       14       5       6.5s       2.8s       1.2s       4.2s       1.4s       0.7s         add       2       2932       1514       472       20       14       5       6.5s       2.8s       1.2s       4.2s       1.4s       0.7s         indexOf	Sa l	lastKey														
Image: bit indexOf       1       986       818       354       6       6       3       2.0s       1.4s       0.7s       1.0s       0.8s       0.5s         indexOf       2       2932       1514       472       20       14       5       6.5s       2.8s       1.2s       4.2s       1.4s       0.7s         indexOf       2       10990       2906       590       74       30       7       21.0s       5.6s       0.9s       15.8s       3.3s       0.4s         indexOf       2       1195       1135       486       17       16       7       2.1s       2.0s       1.1s       0.5s       1.0s       0.7s       0.1s         indexOf       2       1195       1135       486       17       16       7       2.1s       2.0s       1.1s       0.5s       1.0s       0.7s         3       2686       2339       486       44       38       7       4.5s       4.1s       0.5s       2.5s       1.7s       0.1s         removeElementAt       2       382       320       257	<u>.</u>							6			2.5s					
add       2       2932       1514       472       20       14       5       6.5s       2.8s       1.2s       4.2s       1.4s       0.7s         3       10990       2906       590       74       30       7       21.0s       5.6s       0.9s       15.8s       3.3s       0.4s         indexOf       2       1195       1135       486       17       16       7       2.1s       2.0s       1.1s       0.5s       1.0s       0.7s         3       2686       2339       486       44       38       7       4.5s       4.1s       0.5s       1.0s       0.7s         1       202       200       197       3       3       3       0.8s       0.3s       0.4s         removeElementAt       2       382       320       257       6       5       4       1.0s       0.7s       0.6s       0.2s       0.1s       0.4s																
Nome       3       10990       2906       590       74       30       7       21.0s       5.6s       0.9s       15.8s       3.3s       0.4s         indexOf       2       1195       1135       486       17       16       7       2.1s       2.0s       1.1s       0.5s       1.0s       0.7s         3       2686       2339       486       44       38       7       4.5s       4.1s       0.5s       2.5s       1.7s       0.1s         removeElementAt       2       382       320       257       6       5       4       1.0s       0.7s       0.6s       0.2s       0.1s       0.4s																
removeElementAt 2 382 320 257 6 5 4 1.0s 0.7s 0.6s 0.2s 0.1s 0.4s		add														
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3       999       566       318       16       9       5       2.0s       0.9s       0.6s       0.7s       0.5s       0.2s	· · · ·	removeElementAt														
			3	999	566	318	16	9	5	2.0s	0.9s	0.6s	0.7s	0.5s	0.2s	

# **Experiment Data**

Class	Method States Cases								Total Time Theorem Proven Time						
		k	Lazy	Lazier	Lazier#	Lazy	Lazier	Lazier#	Lazy	Lazier	Lazier#	Lazy	Laz Kiasan	7	
AvlTree		1	3271	2420	1864	5	4	4	1.2s	1.5s	0.8s	0.4s			
	find	2	48244	23807	18800	29	21	21	8.9s	7.2s	6.9s	2.8s	3.9s 2.5s		
		3	10944306 4719	459718 3841	351798 3053	275	190	190 4	3	5.5s	2.1s		1.1s		
A I	insert	2	56832	31905	25702	29	21	21							
		3	11036507	542929	422049	275	190	190	22	2.7s	17.	ls I	5.0s		
		1	6097	5521	1621	13	12	4	50.1m 2.4s		16	1.000	1.5m		
ee	insert	2	91691	63931	12551	112	94	21			16.4	HIII 🚦	1.5m		
BinarySearchTree		3	3349343	1855571	234595	2161	1668	236			2.5s		1.3s		
ac		1	4146 74896	3693 49422	1001 9254	13 112	12 94	4		.49		58	1.58		
Se	remove	23	3031511	49422 1599087	9234 197738	2161	1668	21 236	22.4s		14.	55	5.1s		
ary		1	4890	4301	1162	13	1008	4				-			
Bin	find	2	89819	57292	10443	126	98	21	43.0m		13.8m		1.3m		
		3	3822839	1808683	212296	2873	1788	236							
	push	1	758	758	374	4	4	2	2.1s 23.1s		2.9s		0.8s		
st		2	1466	1390	687	6	6	3			16.20		100		
- K		3	2450 196	2260 196	1119 189	8	8	4			16.3s		4.9s		
StackList	рор	1 2	425	387	377	3	3	3	55.5m		15.7m		1.4m	11	
		3	770	675	662	4	4	4			13./111				
	get	1	4309	2009	1199	8	6	4	1	20	2	10	1.6s		
g		2	85601	27489	17440	62	40	28	4.2s 16.0s		2.1s		1.08		
Ma		3	20707094	774545	470913	782	482	331			10.3s		7.7s		
Lee	remove	1	2247 74892	1721 37832	1110 17081	7	5 43	4				-			
E		23	17631620	1166311	472985	1075	579	28 331	7.0h		3.1m		2.0m		
java.util.TreeMap		1	1219	664	657	2	2	2						- 1	
jav	lastKey	2	15680	7658	7614	6	6	6	1.4s 16.0s		1.4s		1.4s		
		3	3524450	205430	204738	31	31	31			12.2s		5 00		
	add	1	986	818	354	6	6	3	10	0.08	12.	28	5.8s		
java.util.Vector		2	2932	1514	472	20	14	5	5	.1h	7.6	m I	1.9m		
	indexOf	3	10990 644	2906 588	590 438	74	30	7							
		2	1195	1135	438	17	16	7	0	).7s	0.	4s	0.6s		
		3	2686	2339	486	44	38	7							
		1	202	200	197	3	3	3	1 7	.7s	2.	5s	3.6s		
	removeElementAt	2	382	320	257	6	5	4	07	0					
		3	999	566	318	16	9	5	27.	Um	27.	9s 🛛	30.3s		
	Tab		Exporim					onder r		utoe:	h hau				

Table 1. Experiment Data (excerpts); s – seconds; m – minutes; h – hours

## Outline



#### Bogor / Kiasan

#### **Foundations**

- Basic concepts
- Dealing with the heap
- Correctness results and distinguishing features

#### **Tool Capabilities**

- Lightweight property checking
- Input/Output Visualizations
- Strong contract checking
- Test case generation for open systems

## **Kiasan without Contracts**



# What's all this "contract" rubbish – they're just a big waste of time. The code is the only thing that matters anyway.

So what can Kiasan do for me?

### Example

```
void sort(int[] data) {
 boolean isSorted;
 int numberOfTimesLooped = 0;
do {
  isSorted = true;
  for (int i = 1; i <= data.length - numberOfTimesLooped; i++) {</pre>
     if (data[i] < data[i - 1]) {</pre>
        int tempVariable = data[i];
        data[i] = data[i - 1];
        data[i - 1] = tempVariable;
        isSorted = false;
         }
   }
   numberOfTimesLooped++;
} while (!isSorted);
```
### Example

```
void sort(int[] data) {
   boolean isSorted;
   int numberOfTimesLooped = 0;
  do {
    isSorted = true;
    for (int i = 1; i <= data.length - numberOfTimesLooped; i++) {</pre>
       if (data[i] < data[i - 1])<sup>*</sup>{---.
          int tempVariable = data[i];
                                            Kiasan detects
          data[i] = data[i - 1];
                                            possible null-
          data[i - 1] = tempVariable;
                                            dereference
          isSorted = false;
           }
     }
     numberOfTimesLooped++;
  } while (!isSorted);
```

### Example

```
void sort(int[] data) {
   boolean isSorted;
   int numberOfTimesLooped = 0;
  do {
    isSorted = true;
    for (int i = 1; i <= data.length - numberOfTimesLooped; i++) {</pre>
       if (data[i] < data[i - 1]) {</pre>
          int tempVariable = data[i];
                                           Kiasan detects array
          data[i] = data[i - 1];
                                           index out of bounds
          data[i - 1] = tempVariable;
                                           (i.e., i can be equal to
          isSorted = false:
                                           data.length)
     }
     numberOfTimesLooped++;
  } while (!isSorted);
```

ſ

### **Reasoning about Heap Data**



## **Providing Diagnostic Information**



# **Providing Diagnostic Information**



Kiasan provides pairs of states (pre,post) associated with a path leading to the error state

### **Solving Constraints**



## **All Paths for Foo3 Example**



## **All Paths for Foo3 Example**



## **Kiasan with Contracts**



"Without specifications, the code is trivially correct !

I don't use anyone's service unless they provide a contract"

## **Strong Property Checking**

Kiasan has the technology to check strong properties in specification languages like JML

```
public class LinkedList<E> {
    //@ inv: isAcyclic();
    /*@ pre: isSorted(c) && other.isSorted(c);
    @ post: isSorted(c)
    @ && size() = \old(size()) + other.size()
    @ && (\forall E e;
    @ elements.contains(e);
    @ \old(this.contains(e))
    @ || other.contains(e))
    @ //
    void merge(@NonNull LinkedList<E> other,
        @NonNull Comparator<E> c) {
    ...
    }
}
```

## **Strong Property Checking**



# **Heavyweight & Lightweight**



# **Samples of Design Intentions**

### Specifying common patterns

### Null-ness

class LinkedList { @NonNull LinkedNode head; }

class LinkedList { @MaybeNull LinkedNode head; }

### Null-ness of a container's element

```
class TreeNode {
  @NonNull @NonNullElements Set<TreeNode> children;
}
```

# **Samples of Design Intentions**

### Specifying common patterns

### Cyclic/Acyclic

class LinkedList { @Acyclic LinkedNode head; }

#### OR

@Acyclic("head") class LinkedList { ... }

### Tree/Graph

```
@Tree("children") class TreeNode {
   Set<TreeNode> children;
}
```

# **Samples of Design Intentions**

Specifying specific patterns

### Units

class Rod { @Meter double length; @Celcius double temperature; }

One can define domain-specific annotations that can be checked by Kiasan

# **Benefits of Strong Specs?**



# **Executable Specifications**

I. Write invariants, pre/post-conditions

- Kiasan will eventually support checking of specifications written in JML.
- Currently specifications must be written as executable (pure) boolean-valued Java methods.

# **Executable Specifications**

**Invariant of a binary search tree** 

```
boolean repOK(BinaryNode t) {
  return repOK(t,new Range());
}
boolean repOK(BinaryNode t, Range range) {
  if (t == null) return true;
  if (!range.inRange(t.element)) return false;
  return repOK(t.left,range.setUpper(t.element));
     && repOK(t.right,range.setLower(t.element));
  }
```

# **Dealing with Heap Data**

II. Specify that invariant should be checked on input & output

```
QAssertion (QCase (
    pre = "repOK(root)",
    post = "repOK(root)"))
public void insert( int x ) {root = myins( x, root ); }
@Helper
private BinaryNode myins( int x, BinaryNode t ) {
  if ( t == null )
    t = new BinaryNode( x, null, null );
  else if( x < t.element)
    t.left = myins( x, t.left );
  else if( x> t.element )
    t.right = myins( x, t.right );
  else
    ; // Duplicate; do nothing
  return t;
```

## **Dealing with Heap Data: Results**

III. Invoke Kiasan to check method and/or generate tests



Tool verifies that pre/post conditions are satisfied and gives pre/post-state pairs for each path through the method

### **Dealing with Heap Data: Results**

III. Invoke Kiasan to check method and/or generate tests



Think about the effort if one has to do this manually!

### **Need External Evidence and Automated Evidence Checker**



That's great, but why should I <u>trust</u> your tool? Are you telling me that <u>my</u> developers should check the scenarios manually?

What is the *external evidence* that they are correct, and how to check them *automatically*?

# Automatic Test Case Generators and Assisting Code Inspection

- Extends the generation of error scenarios to generate test cases
  - generate cases for "good" behaviors
  - while test generations should not based on code alone, this is valuable for regression testing
- This can be used for code inspection
  - the generated input/output (side-effects) of a method give some clue about the method's behavior
  - generalize to any statement block

# **Connecting With SE QA Tech**

- During analysis, Kiasan computes coverage metrics (statement, branch)
  - this includes coverage on specifications
  - Its analysis can even be driven by the coverage metrics
  - i.e., stop the analysis as soon as the desirable coverage is achieved
- reasonable cost/coverage trade-off

# **Kiasan Methodology**



- Code understanding
  - select any block of code,
     Kiasan generates flow scenarios giving path coverage
- Test case generation for regression testing
  - automatically generate tests from code
  - incrementally add tests as changes are made
- Specifications are leveraged for static checking, code understanding/inspection, test case generation, and doc.

- Checking in IDE
  - start with small bounds
  - incrementally check
  - scenario and test case generation for violations
- More exhaustive checking
  - higher bounds with overnight/parallel checking
  - Kiasan tells you if coverage criteria has been met

# **Brief Summary of Capabilities**

- Static checker for common runtime errors
  - run in background for low bounds
  - run parallelizing checks at night with high bounds
  - similar Java checking tools such as ESC/Java, with focus on
    - supports heap data
    - provides error trace & input/output pairs
- Test-case generation with complete path coverage up to bounds – more powerful than commercial tools
  - Run in background in Eclipse, and update test suite with changes
- Gentle introduction to the inclusion of specifications (from light-weight to heavy-weight)
  - Support checking directly with controllable coverage
  - Generate tests as *evidence* for either bugs found or to illustrate coverage via a test suite
  - Argue that writing specs is easier than writing a high-coverage unit test suite – plus, specs can be leveraged in multiple ways



# **Kiasan Future Work**

- Significant engineering effort to create easy-touse tool that can be dropped to developers
  - specification language and methodology
    - next generation (extensible) JML
  - expressing properties/design intentions (e.g., regions)
  - usability in configuring the analysis
  - integration with various theorem provers (SMT-LIB)
  - IDE integration
- Library models/abstractions
- Parallel/distributed solutions
- Integrating abstract interpretation and algebraic specification
- Concurrency, secure information flow, etc.

# **For More Information...**



SAnToS Laboratory, Kansas State University http://www.cis.ksu.edu/santos



Bogor/Kiasan Project http://bogor.projects.cis.ksu.edu



Indus Project http://indus.projects.cis.ksu.edu