# Systems and Software Engineering Standards for the Automotive Domain

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ISO 26262 Technical Expert





- Certification & Initial Feedback
- MISRA C
- Mathworks Automotive Advisory Board Guidelines
- OMG Request for Information

# What is ISO 26262?

- Adaptation of IEC 61508 to comply with the specific needs of E/E systems within road vehicles
  - Specifies a functional safety life-cycle for automotive products
  - Significant modifications vs. IEC 61508
- Applies to all activities during the safety lifecycle of safety-related systems comprised of electrical, electronic, and software components
- Is a standard, not a regulation
  - Broad industry participation in its development
  - Publication Date: Nov. 2011
- Key concept: Automotive Safety Integrity Level (ASIL)
  - Specify risk associated with a potential hazard
  - Dictate development requirements to achieve required integrity with respect to systematic and random hardware failures



ISO 26262 Road Vehicles Functional Safety

# **ISO 26262 Overview**

1. Vocabulary	
	gement of functional safety           nanagement during item development         2-7 Safety management after release for production
3. Concept phase       4. Production         3-5 Item definition       4-5 Initiation of product developments         3-6 Initiation of the safety lifecycle       4-6 Specification of the terrequirements         3-7 Hazard analysis and risk assessment       4-7 System design         3-8 Functional safety concept       5. Product developments         5-5 Initiation of product developments       5.7 Hardware level         5-6 Specification of hardware level       5-8 Hardware architectural ments         5-7 Hardware architectural ments       5-9 Evaluation of violation of goal due to random HW failut         5-10 Hardware integration art       5-10 Hardware integration art	4-10 Functional safety assessment 4-10 Functional safety assessment 4-9 Safety validation 4-8 Item integration and testing nent: /el 6-5 Initiation of product development at the software level 6-6 Specification of software safety requirements 6-7 Software unit design and implementation 6-9 Software unit testing
<ul> <li>8-5 Interfaces within distributed developments</li> <li>8-6 Overall management of safety requirements</li> <li>8-7 Configuration management</li> <li>8-8 Change management</li> <li>8-9 Verification</li> </ul>	B-10 Documentation         B-11 Qualification of software tools         B-12 Qualification of software components         B-13 Qualification of hardware components         B-14 Proven in use argument    ed and safety-oriented analyses          9-7 Analysis of dependent failures
9-5 Requirements decomposition with respect to ASIL tailoring       9-7 Analysis of dependent failures         9-6 Criteria for coexistence of       9-8 Safety analyses         10. (Informative) Guidelines on ISO 26262	

Source ISO/FDIS 26262

# "Certification" Observations

- "Certification" is not required by standard, however ...
  - Confirmation measures, including level of independence
  - Two certification/confirmation perspectives
    - Integrated vehicle systems sold by automotive manufacturer
      - No current government regulations requiring the standard
      - Self confirmation: internal or external
    - Systems delivered by suppliers to manufacturer
      - Manufacturer must obtain confirmation of supplier systems
        - Approaches: manufacture internal, external; supplier internal, external
      - ISO 26262 distributed interface agreement applies
- Certification of products vs. process



# **ISO 26262 Certification Support**

Large ecosystem developing around ISO 26262

• Certification, consulting, tools ...



# Volpe ISO 26262 Report: Industry's Views—

John A. Volpe National Transportation Systems Center

U.S. Department of Transportation Research and Innovative Technology Administration

Pro's

- ISO 26262 is well regarded by industry and is seen as necessary.
- Many companies have at least tried it on pilot projects.
- GM has used it to ensure Volt's battery functional safety.
- Industry recognizes it is valuable to have safety standard to address the growing complexity of Cyber-Physical Systems.
- No discrepancy with mature product development process, and it is easy to implement.
- Aligns well with the model-based development process.

**Source :** Qi Van Eikema Hommes, "Assessment of the ISO 26262 Draft Road Vehicles - Functional Safety", http://www.sae.org/events/gim/presentations/2012/qi\_volpe.pdf

## Volpe ISO 26262 Report: Industry's Views--

Amount of documentation efforts

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- Not convinced that the software development methods are sufficient to guarantee safety
- Since the standard is about the entire product life cycle, the effect of the standard will take some time to show.
- The concept phase is easy to implement, but there is difficulty to integrate a pilot project into the rest of the system that was not developed based on the standard.
- ASIL classification harmonization
- "Proven in use" argument is not useful
  - Takes too long to collect sufficient data
  - The definition in the standard makes it a step that will never be visited
- Qualification of software tools
  - The large number of software tools used in development
  - Comment: software tools are software. How will one quantify the probability of software making mistakes?

**Source :** Qi Van Eikema Hommes, "Assessment of the ISO 26262 Draft Road Vehicles - Functional Safety", http://www.sae.org/events/gim/presentations/2012/qi\_volpe.pdf

## Volpe Report: Summary of Recommendations

1. Consider only using severity for ASIL assessment

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- 2. Government may want to consider playing a role in ASIL standardization
  - However, the ASIL assessment must depend on the context and the design configuration of the system.
- 3. The standard may want to add a section to emphasize hazard elimination before detection and control
- 4. Research activities may want to investigate the effectiveness of system theory based hazard causal analysis in automotive complex cyber-physical systems
  - E.g. STAMP model and STPA.
- 5. Fundamental research is needed for the safety of complex software-intensive systems today, including those in the current automobiles:
  - The effect of complexity on safety is not well quantified
  - The effects of software engineering best practices on safety may be insufficient to ensure safety. New and different approaches may need to be developed.
- 6. Government may want to play a role in certifying software tools used for the development of safety critical systems
- 7. Government may want to consider regulating the safety of E/E systems after the vehicle is sold.

**Source :** Qi Van Eikema Hommes, "Assessment of the ISO 26262 Draft Road Vehicles - Functional Safety", http://www.sae.org/events/gim/presentations/2012/qi\_volpe.pdf



• Certification & Initial Feedback

## MISRA C

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## MISRA C:2004 (MISRA C2)

- "Guidelines for the use of the C language in critical systems"
- Restricted subset of C

# MISRA AC GMG / SLSF

• Modeling design and style guidelines

#### MISRA C EXAMPLE

#### •Rule 34 (required)

The operands of a logical && or || shall be primary expressions
Invalid if (x= = 0 && ishigh)
Valid if ( x == 0 ) && ishigh )

Primary expressions are constants, a single identifier such as ishigh, or a parenthesized expression. Parentheses are important for readability and ensuring that the behavior is what the programmer intends.

Source: Electronic Design <u>http://electronicdesign.com/article/embedded-software/misra-c-safer-is-better2824</u> http://www.misra.org.uk/misra-c/Activities/MISRAC/tabid/160/Default.aspx



#### Static Code Analysis















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# Mathworks Modeling Guidelines

- Mathworks tools broadly used in automotive industry
- MAAB Mathworks Automotive Association Board
  - Coordinate tool request and usage
- "Control Algorithm Modeling Guidelines Using Matlab, Simulink & Stateflow"
- Mathworks verification tools can check compliance



6.1.3. db\_0042: Port block in Simulink models

ID: Title db 0042: Port block in Simulink models Priority strongly recommended Scope MAAB MATLAB ΔII Version Prerequisites In a Simulink model, the ports comply with the following rules: Inports should be placed on the left side of the diagram, but they can be moved in to prevent signal crossings Outports should be placed on the right side, but they can be moved in to prevent signal crossings Duplicate Inports can be used at the subsystem level if required but should be avoided if possible Duplicate Inports cannot be used at the root lev Correct ALL Ford Description Incorrect  $\square$ 2 TO BE lotes on the incorrect mode Inport 2 should be moved in so it does not cross the feed back loop lines. Outport 1 should be moved to the right hand side of the diagram. Readability Verification and Validation Rationale Workflow Code Generation Simulation Last Change V2.0

Source: http://www.mathworks.com/automotive/standards/maab.html



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## OMG Dependability Request for Information

- OMG request for information for dependability standard
  - Due 5/20/2012
  - References ISO 26262
- Key elements to address
  - Rapid development
    - Motivated by "unknown factors"
  - Model-based development
    - Controls & SW
  - Assurance cases
  - Planning on 18 month development cycle

Assuring Dependability of Consumer Devices: -Automobiles, Consumer Robots, Smart Houses, Avionics,

etc-

#### White Paper

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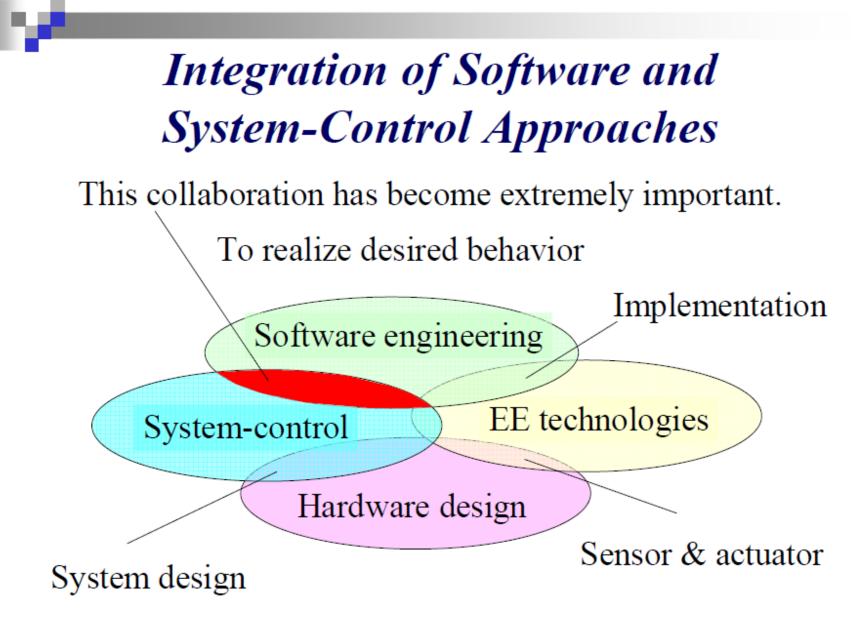
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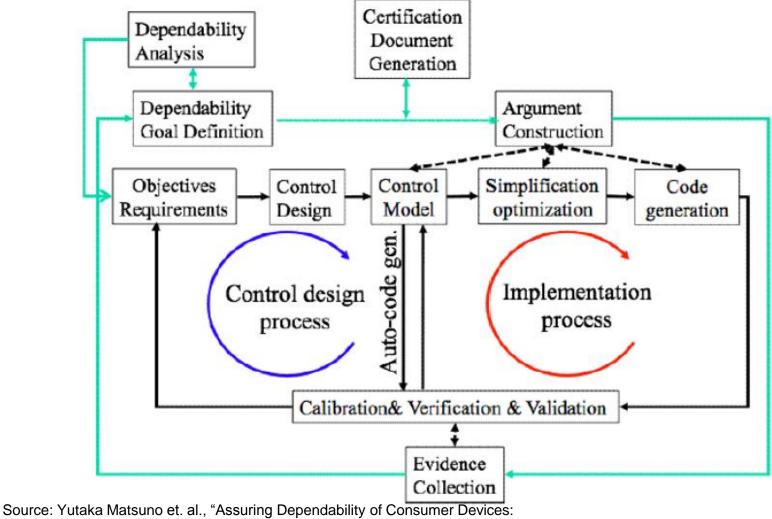






Source: Yutaka Matsuno et. al., "Assuring Dependability of Consumer Devices: -Automobiles, Consumer Robots, Smart Houses, Avionics, etc-" White Paper, 12/15/2011.

#### **Proposed Workflow**



-Automobiles, Consumer Robots, Smart Houses, Avionics,

etc-" White Paper, 12/15/2011.

## Broadly applied automotive standards

- ISO 26262, MISRA C coding guidelines
- Emerging automotive model-based standards & guidelines
  - MISRA GMG / SLSF, MAAB
  - OMG request for infomation











DBJECT MANAGEMENT GROUP

